



503.30414C22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): KATO, et al.

Serial No.: 09/766,596

Filed: January 23, 2001

For: VACUUM PROCESSING APPARATUS AND OPERATING
METHOD THEREFOR

RECEIVED
JUL 16 2001
TC 3700 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97 & §1.98

Assistant Commissioner of Patents
Washington, D.C. 20231

May 29, 2001

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of a reference to be considered by the Examiner during prosecution of the above-identified application. Also enclosed is an English abstract of this document. Further enclosed is Form, substantially equivalent to Form PTO-1449, listing this enclosed document.

This information disclosure statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, the requirements of 37 CFR §1.97(b) are clearly satisfied.

The enclosed document is not in English; however, requirements of 37 CFR §1.98(a)(3) are satisfied by the enclosed English abstract. At the very least, the English abstract should be considered during examination of the above-identified application.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and §1.98 have been satisfied, in connection with the presently

submitted document. Accordingly, consideration of the listed document, upon examination of the above-identified application, is respectfully requested.

Please charge any shortage in fees due in connection with the filing of this paper to the Deposit Account No. 01-2135 (Case No. 503.30414C22) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in cursive script, appearing to read "William I. Solomon", written over a horizontal line.

William I. Solomon
Registration No. 28,565

1300 North Seventeenth Street
Suite 1800
Arlington, VA 22209
Tel.: 703-312-6600
Fax.: 703-312-6666

WIS:alw

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY. DKT. NO. 503.30414C22	SERIAL NO. 09/766,596
	APPLICANT KATO ET AL.	
	FILING DATE January 23, 2001	GROUP

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Abstract	
						Yes	No
	AM	63-133521	06/06/88	Japan		x	
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	
Examiner		Date Considered